



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Dai et al.

Examiner:

Unassigned

Serial No.:

10/042,426

Group Art Unit:

Unassigned

Filed:

January 7, 2002

Docket No.:

STFD.021C1

(S98-049A-Con)

Title:

CARBON NANOTUBE STRUCTURE HAVING A CATALYST ISLAND

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 19, 2002.

By. Trucay no Tottas
Tracey M. Dotter

LUCIVEL

PRELIMINARY AMENDMENT

NOV 27 2002

Assistant Commissioner for Patents Washington, D.C. 20231

10 1700

Sir:

Prior to commencing substantive examination of the above-identified application on the merits, please enter the following Preliminary Amendment.

In the Claims:

Please add the following new claim:

37 (New)

A method for manufacturing a carbon nanotube device, the method comprising:

forming a layer of conductive material on an insulative substrate;

etching a trench in the layer of conductive material and exposing the insulative substrate

at the bottom of the trench;

forming catalyst material on portions of the layer of conductive material at opposing sides of the trench;